

PATENTS
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P01-1727

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:
Vinay Gupta

Serial No.: 10/772,822

Filed: February 5, 2004

For: SYSTEM AND METHOD FOR
LUN CLONING

Examiner: Savla, Arpan P

Art Unit: 2185

Confirmation No.: 5952

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
January 5, 2010

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

DECLARATION OF PRIOR INVENTION
TO OVERCOME CITED PUBLICATION UNDER 37 C.F.R. § 1.131

This document is provided as a declaration of prior invention to overcome cited publications under 37 C.F.R. § 1.131.

PURPOSE OF DECLARATION

1. The person making this declaration is Vinay Gupta, a joint inventor.
2. I have assigned my rights to the above-identified patent application to NetApp, Inc., whose address is 495 East Java Drive, Sunnyvale, CA 99089.
3. This declaration is to establish completion of the invention of this application in The United States at a date prior to the effective date of the prior art publications, Federwisch et al., U.S. Publication No. 2003/0182313 published September 25, 2003, and Edwards, U.S. Publication No. 2003/0182389 published September 25, 2003, both of which were cited by the Examiner. Further, during a time span from prior to September 25, 2003 until February 5, 2004, the filing date of the above-identified patent application, we diligently worked with our legal representation to file an application in the U.S. Patent and Trademark Office.

FACTS AND DOCUMENTARY EVIDENCE

4. To establish the date of completion of the invention of this application, the following attached document is submitted as evidence which was prepared prior to September 25, 2003:

"Lazy Lun Cloning Specification".

5. For example, the attached document, "Lazy Lun Cloning Specification", describes loading a writable vdisk level-1 buffers, loading corresponding level-1 buffers for backing snapshot file (e.g., backing store), searching for holes in the writable vdisk, and when a hole is encountered, loading backing inodes from the backing snapshot file. See, e.g., Lazy Lun Cloning Specification, sections Design Details and Implementation Details.

Specifically, Applicant's claims as recited below is supported by the following portions of the "Lazy Lun Cloning Specification":

1. (Previously Presented) A method for operating a data storage system, comprising:

creating a writable virtual disk (vdisk) at a selected time, the writable vdisk referencing changes in data stored in the data storage system after the writable vdisk was created; (See, e.g., Lazy Lun Cloning Specification, at page 2, Implementation Details: "+load writable snapshot vdisk's level-1 buffers using wafload_buf.")

maintaining a backing store, the backing store referencing data stored in the data storage system which has not been changed since the writable vdisk was created; (See, e.g., Lazy Lun Cloning Specification, at page 2, Implementation Details: "+load corresponding level-1 buffers for backing snapshot file, with vdisk_backup_compute_level_one_buf (), instead.")

loading blocks of the writable vdisk from a disk into a memory, the loaded blocks including a writable vdisk indirect block having a plurality of fields, each field storing a valid pointer to a data block or an invalid pointer representing a particular hole of a plurality of holes, where each hole instructs the data storage system to examine a corresponding virtual block number pointer in the backing store; (See, e.g., Lazy Lun Cloning Specification, at page 2, Implementation Details: "+load writable snapshot vdisk's level-1 buffers using wafload_buf.")

loading blocks of the backing store from a disk into the memory, the loaded blocks including a backing store indirect block having a plurality of fields, each backing store indirect block field corresponding to a field of the writable vdisk indirect block, one or more backing store indirect block fields having a pointer to a data block; (See, e.g., Lazy Lun Cloning Specification, at page 2, Implementation Details: "+load corresponding level-1 buffers for backing snapshot file, with vdisk_backup_compute_level_one_buf (), instead.")

searching each field of the writable vdisk indirect block for a hole; and (See, e.g., Lazy Lun Cloning Specification, at page 1, Design Details: "when

writable snapshot files have holes, this loads all backing inodes, and composes the result buffer.”)

replacing each field having a hole in the writable vdisk indirect block with a new pointer to the data block referenced by the corresponding backing store indirect block field to update the writable vdisk to reference both the data which is unchanged since the writable vdisk was created and the data which has been changed since the writable vdisk was created. (See, e.g., Lazy Lun Cloning Specification, at page 1, Design Details: “when writable snapshot files have holes, this loads all backing inodes, and composes the result buffer” and “if there are any holes in the active filesystem we load the corresponding level-0 buffers and mark them as dirty.”)

As such, we submit that the document attached hereto, entitled “Lazy Lun Cloning Specification”, is a true and correct copy of an invention disclosure which was prepared prior to September 25, 2003, that demonstrates conception of each and every aspect of the invention prior to September 25, 2003.

DILIGENCE

6. Mr. Vinay Gupta acknowledges through this declaration that Applicant acted with diligence in the completion of the invention from the time of conception, to a time just prior to the date of the reference, up to the filing of this application. Specifically, during a time span prior to September 25, 2003 until the constructive reduction of practice on February 5, 2004, we diligently worked to constructively reduce the invention to practice. During this time period, we worked with our patent attorney to finalize the above-identified patent application and to meet the formal requirements for patent filing.

7. Further, on information and belief, during this period several formal documents related to the patent filing were generated, including an information disclosure statement and a utility patent application transmittal, assignment documents and declarations. Furthermore, numerous individuals had to review the documents for

accuracy in order to ensure the prosecution process was not held up do to careless errors on the part of the Applicants.

DECLARATION

8. I, Vinay Gupta, as a joint inventor of the present invention, hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE

9. Full name of (joint/sole) inventor (please print): VINAY GUPTA

Inventor's signature: Vinay Gupta Date: 01/04/10

Country of Citizenship: INDIA

Country of Residence: USA

Post Office Address: 230 W 55th Street, Apt 25H
New York, NY 10019

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SIGNATURE

9. Full name of (joint/sole) inventor (please print): VIJAYAN RAJAN

Inventor's signature: Vijayan Rajan Date: 03/11/2010

Country of Citizenship: USA

Country of Residence: USA

Post Office Address: 250 THOMPSON AVE

MOUNTAIN VIEW, CA 94043.

Lazy LUN Cloning Specification

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Introduction

Lazy LUN cloning allows users to clone exiting LUNs with zero-downtime. LUNs backed by a snapshot have part of their data blocks in a snapshot and part of it in the active file system. Thus as long as the LUN is being used, the backing snapshot cannot be deleted, which locks in the blocks from other files on the volume as well.

It is desired that it should be possible to delete the snapshot after some reasonable period of time without loosing the contents of the LUN and without taking the LUN offline.

The proposed LUN cloning techinque allows this by cloning the contents of the LUN in the background, thus "Lazy", without any application visible downtime. Once the cloning is complete the backing snapshot can then be deleted.

Note that SFSR is not a solution here since we wish to retain the contents of the LUN as is and not restore to the version in the snapshot. Further for SFSR the LUN would be unavailable for the duration of the operation and thus not a solution to the problem at hand.

Design Details

In the initial cut of the implementation, the cloning will be done entirely using backdoor messages and no nvlog protection.

It is not necessary to avoid the buffer copy when a level-0 buffer does not exist in the active filesystem and thus forms the basis of our implementation.

`waf1_load_buf()` on the active filesystem inode already fetches the correct contents, from the most recent applicable snapshot file. This is true for level-0 blocks. For levels greater than 0, the contents are those corresponding to the active filesystem only.

`vdisk_backup_compute_level_one_buf()` computes a level-1 buffer. (When writable snapshot files have holes, this loads all backing inodes, and composes the result buffer.)

We compare the level-1 buffers from the inode in the active filesystem and from that in the snapshot and if there are any holes in the active filesystem we load the corressponding level-0 buffers and mark them dirty.

If a buffer for the active filesystem inode is marked dirty, it is written back to the active filesystem inode, in the normal manner.

The cloning process is complete when the backdoor message handler returns successfully. At this poing the lock on the snapshot is released, the entry in the vtoc is updated to remove the snapshot reference and the user informed of the completion through a syslogged message.

The cloning is done entirely in the background without any NVLOG protection. If we panic in the middle of a cloning operation, the operation is restarted from the beginning on a reboot/takeover (see Future below).

Implementation Details

- + load writable snapshot vdisk's level-1 buffers using `wafload_buf`
- + load corresponding level-1 buffers for backing snapshot file, with `vdisk_backup_compute_level_one_buf()`, instead.
- + where level-0 vbn for active filesystem inode is 0, and for the backing file is non-zero:
 - load the active filesystem inode level 0 buffer
 - `wafload_set_buf_dirty()` on the buffer
- + If a complete scan is performed, and no buffers were marked dirty, do a `backdoor_send` operation to update the vtoc entry
- + Otherwise do `wafload_sync()` to write the dirty buffers to disk and then update the vtoc entry

Other UI Details

- A new lun subcommand "lun clone" will be introduced with following sub options:
- + "lun clone show [<path>]" will list all the luns being cloned
 - + "lun clone status <path>" will report the progress on the cloning operation
 - + "lun clone start <path>" will start of the cloning process
 - + "lun clone stop <path>" will stop the operation

Future

1. Make multiple clones of a single lun simultaneously
2. Keep track of the amount of work done and avoid redoing all the work on a reboot.

Test Plan

TBD

Tracking Burt

burt 77100 RFE: Simple LUN cloning implementation
(Most of the text in this specification is borrowed from Vijayan's Notes in this burt.)

vinay@netapp.com